



**Agenda Item B.2**  
**CONSENT CALENDAR**  
**Meeting Date: April 21, 2009**

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**TO:** Mayor and Councilmembers

**FROM:** Daniel Singer, City Manager

**CONTACT:** Steve Wagner, Community Services Director

**SUBJECT:** Annual Claim with the Santa Barbara County Association of Governments for Allocation of FY 2009-2010 Transportation Development Act Funds

**RECOMMENDATION:**

Adopt resolution No. 09-\_\_ entitled "A Resolution of the City of Goleta, California Authorizing the Filing of a Claim with the Santa Barbara County Association of Governments for Allocation of Transportation Development Act Funds for Fiscal Year 2009-2010".

**BACKGROUND:**

The Transportation Development Act (TDA), Public Utilities Code Section 99200 et seq., makes funds available within Santa Barbara County for transit, paratransit, transportation planning, pedestrian and bicycle facilities and street and road purposes. Two funds have been created by the Transportation Development Act, the Local Transportation Fund (LTF) and the State Transit Assistance Fund (STAF). The LTF can be used for all the purposes listed above (if the applicable requirements are met) while the STAF can only be used for mass transportation and transportation planning purposes.

The Santa Barbara County Association of Governments (SBCAG) makes annual allocations of LTF and STAF monies to eligible claimants after review of claims submitted. Since the Santa Barbara Metropolitan Transit District (MTD) is the designated transit system operator for the City of Goleta, MTD receives a majority of the available STAF monies. An estimate of TDA apportionments is attached to this report (Attachment 1).

Each year the City of Goleta is entitled to certain LTF monies for use on pedestrian and bikeway facilities. The City's annual allocation of LTF monies is based on population. The funds are apportioned on a monthly basis. The City's estimated FY 2009-2010 allocation is \$18,857. These funds are eligible to be used for the maintenance and improvement of the City's pedestrian and bicycle facilities.

**DISCUSSION:**

In order to receive the City's share of the LTF monies, the City is required to adopt a resolution authorizing the filing of the appropriate claim (Attachment 3). A copy of the Resolution is attached, (Attachment 2). While the City receives the LTF appropriation on an annual basis, none of the funds received to date have been expended and no funds are programmed for use this fiscal year. The City has several large scale bike path projects that the funds could be directed to, or they could be used for the maintenance of our existing Class I bike paths.

**ALTERNATIVES:**

The Council could elect not to adopt the attached resolution authorizing submittal of a TDA claim for LTF monies. However, doing so would prohibit the City from receiving LTF funds for FY 2009-2010.

**FISCAL IMPACTS:**

There is no direct financial impact to the City associated with the submittal of the proposed TDA claim to SBCAG, except the personnel time associated with the regulatory and record keeping requirements relating to the use of TDA funds. To date the City has received approximately \$135,500 in TDA funds. There are no limitations on how soon the funds have to be expended. While not currently appropriated, these funds may be used for bicycle/pedestrian improvement projects in the future.

Submitted By:

Reviewed By:

Approved By:

\_\_\_\_\_  
Steve Wagner  
Community Services Director

\_\_\_\_\_  
Michelle Greene  
Admin. Services Director

\_\_\_\_\_  
Daniel Singer  
City Manager

**ATTACHMENTS:**

1. SBCAG Estimated Transportation Development Act Local Transportation Fund Apportionments 2009-2010
2. A Resolution of the City of Goleta, California Authorizing the Filing of a Claim with the Santa Barbara County Association of Governments for Allocation of Transportation Development Act Funds for Fiscal Year 2009-2010".
3. Transportation Development Act Claim Form

**ATTACHMENT #1**

**SBCAG ESTIMATED TRANSPORTATION  
DEVELOPMENT ACT LOCAL TRANSPORTATION  
FUND APPORTIONMENTS FY 2009-2010**

## Appendix IX

### Transportation Development Act Local Transportation Fund (LTF) Apportionments 2009- 2010

CLAIMANTS	Population <sup>1</sup>	% of Total Population	Maximum Apportionment <sup>2</sup>	Bike & Ped. Funds (2%)	Planning Funds -2.0%	Article 4.5 (5%)	Available for Articles 4 & 8
Buellton	4,700	1.0964529%	\$145,769	\$2,915	\$2,857		\$139,997
Guadalupe	6,541	1.5259357%	\$202,867	4,057	3,976		\$185,092 *
Lompoc	42,957	10.0213458%	\$1,332,300	26,646	26,113		\$1,279,541
Santa Maria	91,110	21.2548553%	\$2,825,752	56,515	55,385		\$2,578,159 *
Solvang	5,555	1.2959140%	\$172,287	3,446	3,377		\$165,464
SB County (Unincorp. North County)	69,009	16.0990186%	\$2,140,303	42,806	41,950		\$2,003,053 *
SMOOTH (S. M. Valley CTSA)						\$197,929	
So. Co. Claimants (See Below)	208,783	48.7064777%	\$6,475,340	129,508	126,917	310,946	\$5,907,969
<b>Total</b>	428,655	100.0000000%	\$13,294,618 **	\$265,893	\$260,575	\$508,875	\$12,259,275
<b>SOUTH COUNTY CLAIMANTS</b>							
SBMTD	208,783		\$6,034,886		\$126,917		\$5,907,969
Easy Lift			\$310,946			\$310,946	
Carpinteria	14,271	3.3292508%	\$8,853	\$8,853			
Goleta	30,400	7.0919504%	\$18,857	\$18,857			
Santa Barbara City	90,305	21.0670586%	\$56,016	56,016			
SB County (Unincorp. South County)	73,807	17.2182179%	\$45,782	45,782			
<b>Total</b>	208,783	48.7064777%	\$6,475,340	\$129,508	\$126,917	\$310,946	\$5,907,969

<sup>1</sup> January 2008 Department of Finance figures.

<sup>2</sup> TDA revenue estimate from County Auditor-Controller, January 2009.

\* Adjusted to provide funding for SMOOTH (Santa Maria Valley CTSA).

**ATTACHMENT #2**

**A RESOLUTION OF THE CITY OF GOLETA, CALIFORNIA  
AUTHORIZING THE FILING OF A CLAIM WITH THE  
SANTA BARBARA COUNTY ASSOCIATION OF  
GOVERNMENTS FOR ALLOCATION OF  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
FOR FISCAL YEAR 2009-2010**

**RESOLUTION NO. 09-\_\_\_\_**

**A RESOLUTION OF THE CITY OF GOLETA, CALIFORNIA  
AUTHORIZING THE FILING OF A CLAIM WITH THE SANTA  
BARBARA COUNTY ASSOCIATION OF GOVERNMENTS FOR  
ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT  
FUNDS FOR FISCAL YEAR 2009-2010**

**WHEREAS**, The Transportation Development Act (TDA), as amended (Public Utilities Code Section 99200 et seq.), provides for the allocation of funds from the Local Transportation Fund and the State Transit Assistance Fund, for use by eligible claimants for various transportation purposes; and,

**WHEREAS**, pursuant to the provisions of the TDA, as amended, and pursuant to the applicable rules and regulations there under (21 Cal. Code of Regulations Sections 6600 et seq.), a prospective claimant wishing to receive an allocation from the Local Transportation Fund or the State Transit Assistance Fund shall file its claim with the Santa Barbara County Association of Governments (SBCAG).

**NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF  
THE CITY OF GOLETA AS FOLLOWS:**

**SECTION 1.**

The City of Goleta authorizes the City Manager to execute and file an appropriate claim pursuant to the terms of the TDA, as amended, and pursuant to applicable rules and regulations promulgated there under, together with all necessary supporting documents, with the SBCAG for an allocation of TDA funds in FY 2009-2010.

**SECTION 2.**

The authorized claim shall consist of \$18,857 for pedestrian and bikeway facilities.

**SECTION 3.**

A copy of this resolution shall be transmitted to the SBCAG in conjunction with the filing of this claim.

**SECTION 4.**

The City Clerk shall certify to the adoption of this Resolution.

**PASSED, APPROVED AND ADOPTED** this 21<sup>ST</sup> day of April, 2009, by the following called votes:

\_\_\_\_\_  
ROGER S. ACEVES, MAYOR

ATTEST:

APPROVED AS TO FORM:

\_\_\_\_\_  
DEBORAH CONSTANTINO  
CITY CLERK

\_\_\_\_\_  
TIM W. GILES  
CITY ATTORNEY

STATE OF CALIFORNIA            )  
COUNTY OF SANTA BARBARA )     ss.  
CITY OF GOLETA                )

I, Deborah Constantino, City Clerk of the City of Goleta, California, DO  
HEREBY CERTIFY that the foregoing Resolution No. 09-\_\_\_ was duly adopted  
by the City Council of the City of Goleta at a regular meeting held on the 21<sup>st</sup> day  
of April, 2009, by the following vote of the Council:

AYES:            COUNCILMEMBERS

NOES:            COUNCILMEMBERS

ABSENT:          COUNCILMEMBERS

(SEAL)

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DEBORAH CONSTANTINO  
CITY CLERK



**ATTACHMENT #3**

**TRANSPORTATION DEVELOPMENT ACT CLAIM FORM**

**DOCUMENT A**

**TRANSPORTATION DEVELOPMENT ACT CLAIM FORM**

<b>1</b>	Project Year:	<b>2009-2010</b>	
<b>2</b>	Claimant:	<b>City of Goleta</b>	
<b>3</b>	Address:	<b>130 Cremona Drive, Suite B, Goleta Ca 93117</b>	
<b>4</b>	Contact person:	<b>Steve Wagner</b>	
<b>5</b>	Title:	<b>Community Services Director</b>	Phone: <b>805-961-7500</b>

6. The above named claimant hereby applies for allocations of Transportation Development Act funds for FY 2009-2010 for the purposes and in the amount(s) specified below:

	<u>Purpose</u>	<u>Apportionment</u>	<u>Claimed</u>
	<b><u>LOCAL TRANSPORTATION FUND</u></b>		
<b>7</b>	<b>Regional Transportation Planning</b> (Sec. 99262 & 99402)	\$	\$
<b>8</b>	<b>Pedestrian &amp; Bikeway Facilities</b> (Sec. 99234)	\$18,857.00	\$18,857.00
<b>9</b>	<b>Articles 4 and 8</b>		
	less amounts released to:		
<b>10</b>		\$( )	
<b>11</b>		\$( )	
<b>12</b>		\$( )	
	plus amounts released from:		
<b>13</b>		\$	
<b>14</b>		\$	
<b>15</b>		\$	
<b>16</b>	<b>Article 4</b> (Sec. 99260) (Transit)		\$
<b>17</b>	<b>Article 4.5</b> (Section 99275) (Community Transit)	\$	\$
<b>18</b>	<b>Article 8a</b> (Sec. 99400a) (Streets & Roads)		\$
<b>19</b>	<b>Article 8c</b> (Sec. 99400c) (Transit Contracts)		\$
<b>20</b>	<b>TOTAL LTF</b>	<b>\$18,857.00</b>	<b>\$18,857.00</b>
<b>21</b>	Amount to be held in reserve (Sec. 6648)	\$ -0-	
	<b><u>STATE TRANSIT ASSISTANCE FUND</u></b>		
<b>22</b>	Transportation Planning		\$
<b>23</b>	Mass Transportation		\$
<b>24</b>	<b>TOTAL STA</b>		\$
<b>25</b>	<b>TOTAL TRANSPORTATION DEVELOPMENT ACT CLAIM</b>		<b>\$18,857.00</b>

**DOCUMENT A (Continued)**

Claimant acknowledges that payment by the County Auditor of an allocation made by the Association of Governments is subject to such monies being on hand and available for distribution and to the provision that such monies be used only in accordance with the term of the allocation instruction issued by the Association of Governments.

- 26. BY: \_\_\_\_\_
- 27. TITLE: Daniel Singer, City Manager
- 28. DATE: April 1, 2009

DOCUMENT H

STANDARD ASSURANCES FOR APPLICANTS

CLAIMANT ASSURANCES: (Initial Sections which apply)

- \_\_\_\_\_A. Claimant certifies that it has submitted a satisfactory, independent fiscal audit, with required certification statement, to the SBCAG and to the State Controller, pursuant to PUC 99245 and 21 Cal: Code of Regulations Section 6664 for the prior fiscal year (project year minus two). Claimant assures that this audit requirement will be completed for the current fiscal year (project year minus one).
- \_\_\_\_\_B. Claimant certifies that it has submitted a State Controller's report, in conformance with the uniform system of accounts and records, to the SBCAG, and to the State Controller, pursuant to PUC 99243, for the prior year (project year minus two). Beginning with the 1979-80 fiscal year, claimant assures that this report will be audited by an independent CPA. Claimant assures that this report will be completed for the current fiscal year (project year minus one).
- \_\_\_\_\_C. Claimant certifies in accordance with PUC Section 99314.5(b) that it is not precluded by any contract entered into on or after June 28, 1979, from employing part-time drivers or contracting with common carriers of persons operating under a franchise or license. Claimant further certifies that no person who was a full-time employee on June 28, 1979, shall have his or her employment terminated or his or her regular hours of employment, excluding overtime, reduced by the operator as a result of it employing part-time drivers or contracting with such common carriers.
- \_\_\_\_\_ D. Claimant filing claim pursuant to PUC Section 99260 certifies that (check one):
- \_\_\_\_1. the current cost of its retirement system is fully funded with respect to the officers and employees of its public transportation system (PUC Section 99271a); or
- \_\_\_\_2. the operator is implementing a plan approved by the transportation planning agency which will fully fund the retirement system for such officers and employees within 40 years (PUC Section 99271a); or
- \_\_\_\_3. the operator has a private pension plan which sets aside and invests on a current basis funds sufficient to provide for the payment of future pension benefits and which is fully compliant with the requirements stated in PUC Sections 99272 and 99273.
- \_\_\_\_\_E. Claimant certifies that it is in compliance with PUC Section 99264 that it does not routinely staff, with two or more persons, a vehicle for public transportation purposes designed to be operated by one person.

- \_\_\_\_\_F. Claimant certifies that it is making full use of federal funds available under the Urban Mass Transportation Act of 1964, as amended in accordance with Section 6754(a)(3).
  
- \_\_\_\_\_G. Claimant certifies that this is in compliance with PUC Section 99155 that if it offers reduced fares to seniors, the same reduced rate is offered to disabled persons, handicapped persons, and disabled veterans and it honors the federal medicare card for identification to receive reduced fares.
  
- \_\_\_\_\_ H. Claimant certifies that it is in compliance with PUC Section 99155.5 regarding dial-a-ride and paratransit services being accessible to handicapped persons, and that the service be provided to persons without regard to vehicle ownership and place of residence.

The undersigned hereby certifies that the above statements are true and correct

Signature: \_\_\_\_\_

Name:  Daniel Singer

Title:  City Manager